



## Capital Market Services LLC

350 Fifth Ave, Suite 6411, Empire State Building, New York, NY, 10118, USA, Phone: 1-212-563-2100; Fax: 1-212-563-4994

### Disclosure for Clients Introduced to CMS by Third Parties

The undersigned Client(s) ("Client") acknowledges the following with respect to Client's account(s) with Capital Market Services LLC ("CMS LLC"), which has/have been introduced to CMS LLC

by Han Tie ("Referring Party"):

1. CMS LLC does not control, and cannot endorse or vouch for the accuracy or completeness of any information or advice Client may have received or may receive in the future from Referring Party or from any other person not employed by CMS LLC regarding foreign currency or exchange ("forex") trading or the risks involved in such trading.
2. CMS LLC provides risk disclosure information to all new Clients when they open accounts. Client should read that information carefully, and should not rely on any information to the contrary from any other source.
3. Client acknowledges that no promises have been made by CMS LLC or any individual associated with CMS LLC regarding future profits or losses in Client's account. Client understands that forex trading is very risky, and that many people lose money trading.
4. If Referring Party or any other third party provides Client with information or advice regarding forex trading, CMS LLC shall in no way be responsible for any loss to Client resulting from Client's use of such information or advice.
5. To the extent Client has previously been led to believe or believes that utilizing any third party trading system, course, program, research or recommendations provided by Referring Party or any other third party will result in trading profits, Client hereby acknowledges, agrees and understands that all forex trading, including trading done pursuant to a system, course, program, research or recommendations of Referring Party or another third party involves a substantial risk of loss. In addition, Client hereby acknowledges, agrees and understands that the use of a trading system, course, program, research or recommendations of Referring Party or another third party will not necessarily result in profits, avoid losses or limit losses.
6. Client understands that Referring Party and many third party vendors of trading systems, courses, programs, research or recommendations are not regulated by a government agency.
7. Because the risk factor is high in foreign currency transactions trading, only genuine "risk" funds should be used in such trading. If Client does not have the extra capital the Client can afford to lose, Client should not trade in the foreign currency markets.
8. Client understands and acknowledges that CMS LLC may compensate Referring Party for introducing Client to CMS LLC and that such compensation may be on a per-trade basis or other basis. Further, the Client has a right to be informed of the precise nature of such remuneration.
9. Client understands and agrees that if Client's account with CMS LLC is introduced by Referring Party that Referring Party shall have the right to access Client's CMS LLC account, but the Referring Party shall not have the right to enter into any trades on Client's CMS LLC account unless authorized by Client under a power of attorney between Client and Referring Party granting such Referring Party the right to trade on Client's account.
10. Client understands and agrees that there may be commission implemented on his account.

If such commission is added, its size is the following (in USD base currency):

**Universal Account:** Commission per one **100K** lot 0; and/or per one **10k mini** lot 0;

**Professional Account:** Commission per one **250k** lot 0

**Exclusive Mini Account:** Commission per one **10K** lot 0

This additional disclosure is in addition to, and supplements, but does not replace any other disclosures provided to Client by CMS LLC.

I have read and fully understand and agree to the foregoing.

Name: \_\_\_\_\_ Signature \_\_\_\_\_ Date: \_\_\_\_\_

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**By signing this form below you are applying to open an additional account and are bound by the terms of your original agreement with Capital Market Services, LLC. You also acknowledge that you have read the terms and conditions of your original agreement with Capital Market Services, LLC, that you understand them, and you agree with them.**

**Trader's Signature:** \_\_\_\_\_

**Print Name:** \_\_\_\_\_ **Date (dd/mm/yy):** \_\_\_\_\_

EXISTING CLIENT